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| **Operator #:** |  | **Operation Name:** | |  | | | | **Date:** |  |
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| **A. General** | | | | | | | | | |
| 1. Do you work with any subcontracted entities?  YES  NO  If yes, please complete the table below. | | | | | | | | | |
| **Name of Subcontracted Entity** | | | **Address of Subcontracted Entity** | | **Certified Organic Status Yes/No** | **Name of Certification Body**  **(If “Certified Organic Status” = “Yes”)** | **Description of activity the subcontracted entity is providing you** | | |
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| **B. Assurance of Organic Integrity** | | | | | | | | | |
| 1. Does parallel processing (the repackaging of organic and non-organic/non-EC products) occur at any of the facilities utilized for organic processing?  YES  NO  If **YES**, please complete the remaining questions in this section. | | | | | | | | | |
| 2. Please describe the system in place to prevent commingling and to provide a separation of organic processing by time and place from non-organic/non-EC processing.  Not Applicable | | | | | | | | | |
| 3. Do you maintain an updated register of all operations and quantities processed?  YES  NO | | | | | | | | | |
| 4. How are specific organic lots identified and what measures are taken to avoid mixtures or exchanges with non-organic/non-EC products?  Not Applicable | | | | | | | | | |
| 5. Please describe the method of cleaning of equipment prior to organic product runs.  Not Applicable | | | | | | | | | |
| 6. If you suspect a product produced by your operation does not comply with the EU regulations, please explain the steps you will take to address this to ensure such product does not enter the stream of commerce. Please include how you will identify and segregate the product, verify whether the suspicion can be substantiated, ensure the product is not sold as “organic” where the suspicion is correct, and inform OCIA of the occurrence: | | | | | | | | | |
| 7. When your operation purchases ingredients and your operation suspects the incoming product is not compliant, does your operation verify the information on the label of the product matches the accompanying documents and that the supplier organic certificate information corresponds to the product? Please explain how this verification would be recorded.  YES  NO | | | | | | | | | |
| **C. Storage** | | | | | | | | | |
| 1. If the operation has parallel processing or handles non-organic products, are non-organic products stored in separate areas from the organic products?  YES  NO  Not Applicable  If **NO**, what measures are taken to ensure that organic products are clearly identifiable from the non-organic products to avoid mixtures or exchanges of organic products with non-organic products?  Not Applicable | | | | | | | | | |
| 2. What cleaning measures are implemented prior to the storage of organic products and are these measures recorded? | | | | | | | | | |
| **D. Transportation** | | | | | | | | | |
| 1. Please describe the packaging, containers, or vehicles that are utilized for transporting organic products (including to wholesalers and retailers). Include a description of the method of sealing the packaging, container, or vehicle to ensure that substitution of the content cannot be achieved without manipulation of the seal. | | | | | | | | | |
| 2. Is a label/bill of lading used for the transportation of product?  YES  NO  If **YES**, please provide a description of all information that is included on the label/bill of lading which verifies compliance with Standard 7.5.2, point 1 (a), (b), (c), and (d) or Standard 7.5.2, point 2 of the “Equivalent European Union Organic Production & Processing Standard for Third Countries” regulation. | | | | | | | | | |
| 3. Upon receipt of organic product(s) from suppliers, do you verify the package seal and the presence of the information required in Standard 7.5.2, point 1 (a), (b), (c), and (d) of the “Equivalent European Union Organic Production & Processing Standard for Third Countries” regulation and that the information on the label matches the information on the accompanying documents?  YES  NO  If **YES**, are records maintained of the verification of the package seal and accompanying documents?  YES  NO | | | | | | | | | |
| **E. Inventory** | | | | | | | | | |
| 1. The EU requires verification of products requested on export documents against projected production for the year. For all products requested to EU Equivalency, to the best of your ability, please provide the total projected production for the next year. Please indicate and use the unit of measure utilized on transaction certificates and Certificate of Inspection documents.  List Attached | | | | | | | | | |

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| Product | Annual Projected Production | Unit of Measure |
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